

Addis Ababa University's Quality Enhancement Action Plan

(2017-2022) (2009-2014E.C)

Developed by the Office for Academic Standards and Quality Enhancement, Addis Ababa University

January 2017

1. Introduction

This comprehensive **Quality Enhancement Action Plan** was developed based on the feedback and discussion results gathered from AAU's community discussion on academic quality, September 2016, in the presence of high-level Government Officials and AAU's Board Members and study papers and reflections made on the AAU's Educational Quality Consultative meeting held on 2nd November 2016. The draft of the document has gone through various phases of development incorporating comments and suggestions made to it by concerned directors and deans across the University before reaching this final shape.

Colleges, Centers, Institutes and Departments/academic units in AAU are required to implement this plan directly or by developing and implementing their own compatible quality enhancement plan hierarchically (based on this document/cascading /and the University's legislation) taking into due account their own disciplinary and working contexts and other contextual and global factors.

It is nowadays nearly becoming a common knowledge supported by a number of research findings in the area that quality in Ethiopian higher education is deteriorating. As a result voices of concern are being heard from academia and the Government regarding the issue. It was thus imperative for the Addis Ababa University to respond to the problem by developing and implementing this Quality Enhancement Plan in AAU in order to bring about quality in all its educational programs (undergraduate and postgraduate) and by being a role model to second and third generation universities in the country. The Office of the Academic Standards and Quality Enhancement (ASQE), AAU, by the University's legislative power vested into it in managing the quality of education, therefore, developed this quality enhancement plan and presented it for discussion, comments and approval to the University Community and the University leadership at various phases and finally managed to present the final draft in its current shape. The plan has thoroughly charted out salient activities of action that most of the University faculty have discussed and agreed upon at various forums. Those activities were thought to bring about educational quality in Addis Ababa University if they are implemented successfully and in due time. The activities charted out in this document are meant to be implemented on the basis of short-term (one to two years' time), mid-term (three to four years) and in the long term (five years' time). All activities will have been completely implemented the latest between January

2017 (2009 E.C) and December 2022(2014 E.C) depending on their level of urgency as planned below.

2. Short-term, mid-term, and long-term activities planned

Given the urgency of making effective interventions in order to improve the educational quality in all AAU's programs of study, it was found important to prioritize and categorize activities into three in the Plan of Action: short-term, mid-term and long term activities. Taking into due consideration the resource and logistics implications if all activities were to be implemented at the same time, this prioritization and planning based on time was also essential for feasibility rationales. All activities (64 total), key responsible agents for implementing the activities and major indicators for the successful implementation of those activities are outlined as follows.

A. Short-term activities

No.	Activities/Items	Responsible unit for	Time	Indicator
		implementation	frame	
1	All departments and academic units	Departments and	Short-	Testing and evaluation
	will set up testing and evaluating	academic units offering	term	committees set up, a
	committees for courses taught by	courses and programs		system where pool
	more than three instructors. The			evaluation and
	committee designated by the			awarding of final
	respective academic commissions			grades is made
	will develop, monitor, and award			developed
	grades after administering tests and			
	all assessment procedures and not			
	individual instructors awarding			
	final grades.			
2	All departments and academic units	Departments and	Short-	Fiscal budgets
	will plan their budget requirements	academic units offering	term	developed ahead of
	well ahead of the upcoming	courses and programs		academic year and
	academic year and ensure that any			ready for
	part-timer is employed based on			implementation

	the plan and the real need for			
	employing the part-timer on behalf			
	of the employing college or unit.;			
	no causal employment of a part-			
	timer teacher will be made.			
3	All departments and academic units	Departments and	Short-	Day-one-class one
	will follow up the implementation	academic units offering	term	implemented and
	of the day-one-class one principle	courses and programs		monitored; faculty
	and take immediate and corrective			failing to implement the
	measures on faculty who fail to			day-one-class one
	implement this in time; and report			penalized as per AAU's
	this measure to the AVP in writing			legislation
	in time.			
4	All departments and academic units	Departments and	Short-	Students' academic
	must timely determine the	academic units offering	term	status determined
	academic status of their students at	courses and programs		timely
	any level and inform the same to			
	the concerned students, and in case			
	some registrar units fail to do this,			
	take the appropriate measures on			
	those who fail to do this and inform			
	the University leadership in writing			
	the case.			
5	All departments and academic	Departments and	Short-	Number of graduates
	units will increase annually the	academic units offering	term	increased annually;
	number of their graduates with the	courses and programs		national policy
	required quality and based on the			implemented
	needs of the country and as			
	stipulated in the professional mix			
	policy of the country.			
6	All departments and academic	Departments and	Short-	AAU's legislation fully
	units will follow up strictly that	academic units offering	term	implemented;
	their faculty undertake their duties	courses and programs		corrective actions taken

	and responsibilities as stipulated in			on faculty who fail to
	the contractual agreement the			discharge their
	respective faculty entered with the			legislative duties and
	University and as per the AAU's			responsibilities
	legislation and guidelines to			
	undertake academic responsibilities			
	such as teaching, research and			
	community services; in cases where			
	faculty fail to discharge their			
	responsibilities as charted out in			
	AAU's legislation, academic units			
	must be able to take corrective			
	actions and measures to maintain			
	the quality of teaching and learning			
	in AAU.			
7	All departments and academic	Departments and	Short-	All types of access to
	units will ensure that their students	academic units offering	term	student services
	at any level and programs have full	courses and programs		provided to students;
	access to all kinds of student			
	services provided by the			
	departments and academic units			
	and any kind of academic			
	information and information related			
	to student support services such as			
	dormitories, health facilities,			
	libraries, reading rooms,			
	laboratories, student cafes, etc.			
8	All departments and academic units	Departments and		Course/module team set
	are required to set up a course or	academic units offering		up; courses progress
	module team for courses taught for	courses and programs		and offering monitored
	more than three instructors in all			properly; day-one-class
	programs. This committee/team			one and last-day-last
	will discuss and gauge the progress			implemented and
	of teaching a course by conducting			monitored.

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	regular weekly meetings and			
	exchange ideas and opinions,			
	innovative techniques in teaching			
	that particular course and proceed			
	until the end of the course or			
	module, monitors also day-one			
	class-one and last day-last class			
	principles. Unless and otherwise			
	there exists an extremely			
	compelling situation and scarcity of			
	skilled human power, no course or			
	module shall be taught by an			
	individual instructor. All courses			
	and modules have to be team-			
	taught.			
9	Performance evaluations of faculty	Departments and	Short-	Faculty and student
	by students, colleagues, and	academic units offering	term	evaluation
	department chairpersons will be	courses and programs		electronically
	conducted electronically (on-line)			conducted by semester;
	by semester intervals or module			BSC implemented
	intervals as the case may be. This			alternatively
	might alternatively done using the			
	BSC automation software to be			
	developed for this purpose by the			
	University or any other relevant			
	software to be developed for this			
	purpose			
10	All departments and academic units	Colleges or faculties and	Short-	University rules and
	will follow up the strict	departments and	term	regulations strictly
	implementation of the University	academic units		implemented; grievance
	Senate legislation, guidelines and			handling systems
	procedures of the University for			instituted.
	thesis supervision and examination;			
	institute fair and just grievance			

	handling system and procedures for			
	exam complaints and handle			
	properly and timely staff and			
	student grievances and complaints.			
11	All colleges are required to develop	AVP, colleges,	Short-	Plagiarism controlling
	their own procedures and ways of	departments and	term	mechanisms put in
	mitigating the problems of	academic units		place
	academic plagiarism in a manner			
	that does not violate the			
	University's rules and regulations			
	for the same. The AVP office			
	should finalize the process started			
	in this regard or look for other			
	options of procurement of the			
	software for controlling plagiarism			
	or academic offence.			
12	All colleges and departments/	AVP, colleges,	Short-	Active learning
	academic units will implement the	departments and	term	methods/cooperative
	active learning methods where	academic units		and peer learning
	group/cooperative learning and			implemented and
	peer learning strategies are			monitored.
	systematically organized,			
	institutionalized, and monitored			
	within the context of their own			
	profession or disciplines.			
13	All colleges will apply the team	Colleges,	Short-	Team teaching and
	teaching methods and team/group	departments/academic	term	team research systems
	research methods in most of their	units		put in place in all
	courses or modules as a			programs
	requirement for all their programs			
	approval and based on the nature of			
	the courses and modules they offer			
	in their programs.			

14	University will develop tougher	AAU, AVP	Short-	Tougher rules issued on
	rules and regulations for academic		term	miscellaneous academic
	misconduct including plagiarism,			misconduct and
	and exam cheating benchmarking			implemented/existing
	best practices from universities			rules improved.
	overseas and enforce the			
	implementation of it in the			
	University by making it legislative			
	rule.			
15	All academic units particularly	College deans,	Short-	Classrooms and
	colleges, should improve the	departments	term	auditoriums refurbished
	classrooms; maintenance and			with the state-of-the-art
	renovation and furnish the same			instructional technology
	with the necessary facilities to			and related facilities
	make attractive classrooms for			
	teaching learning.			
16	University will monitor through the	AAU, AVP, ASQE	Short-	Internal quality audit
	Office of ASQE that all colleges	Office	term	systems developed by
	have internal quality audit systems			all units and
	set up and will make this a			educational quality
	requirement for all colleges to			coordinators assigned
	operate. ASQE Office will share			to each college or
	expertise with academic units in			academic unit
	developing internal quality			
	assessment mechanisms, and may			
	designate educational quality			
	coordinators at college levels for			
	this and other educational quality			
	matter management reasons.			
17	All departments and academic units	Colleges, departments	Short-	Exit exams developed
	are required to develop regular and		term	and administered for all
	standard exit exams for their			graduates from AAU.
	students/graduates in collaboration			
	with the national agency that			

	regulates and sets the Certificate of			
	Competence Examinations.			
18	All departments and academic units	Colleges,	Short-	National and
	running graduate programs should	departments/academic	terms	international
	be prepared and work towards	units		accreditations earned
	accreditation (both national and			by all programs
	international accreditations as the			
	case may be gradually) of their			
	programs.			
19	English language improvement	Center or teaching and	Short-	ELIP set up, English
	training will be given to all level	learning, ELIC,	term	language training
	students and the AAU junior	departments		conducted for students
	teaching faculty based on needs			and junior faculty
	assessment and entrance			
	examinations results the University			
	currently administers on-line.			
20	All departments and academic units	Colleges and	Short-	Academic advisors
	will assign academic advisors for	departments/academic	term	assigned for all students
	all their students in pipeline and	units		in pipeline in all
	monitor the implementation of the			programs.
	same and take due administrative			
	measures on those faculty who fail			
	to properly advise their students			
	timely and professionally.			
21	All academic staff/faculty should	Deans, departments	Short-	Office hours of faculty
	post consultation hours on their		term	notified to students and
	offices for their students and be			colleagues; measures
	available in their offices during			taken on faculty who
	those consultation hours; deans			fail to do so.
	should monitor this and take			
	measures in case some failures are			
	reported.			
22	Senior essays, thesis, and	Deans, department	Short-	Students' progress in

	dissertation supervisors/advisors	heads, supervisors	term	thesis work reported by
	should report the progress of their			advisers regularly both
	advisee/s to the departments on			orally and in writing to
	regular basis; oral and written			departments.
	feedback for their students work			
	and projects should be given on			
	time and the departments should			
	monitor the entire process of this.			
23	External examiners for thesis and	Departments, external	Short-	Written feedback on
	dissertation should provide written	examiners	term	exams submitted by
	feedback on candidate's			external examiners to
	performance and program to the			departments.
	department immediately after			
	examinations are conducted.			
24	All departments and academic units	Departments, CPD	Short-	CPD plans developed
	are required to develop their own	units, Center for	term	and implemented
	CPD plans and implement it	teaching-learning		regularly by all
	regularly and communicate the			academic units.
	same to the AVP of the University			
	for Endorsement and follow-ups.			
25	ASQE Office will publish annually	AAU, ASQE Office	Short-	Annual bulletin
	regularly a bulletin/information		term	published regularly on
	abstract containing statistical data			educational quality
	on AAU's academic community			issues in AAU.
	and issues related to quality			
	assurance and management and			
	research outcomes related to			
	quality enhancement issues in			
	higher education.			
26	AAU in collaboration with colleges	AAU, AVP, ASQE	Short-	Awareness raising
	and departments will regularly	Office	term	conferences and
	conduct series of awareness raising			meeting conducted to
	conferences/meetings, workshops			different stakeholders

	and seminars on educational quality			on quality matters.
	in AAU addressing the interest of			
	different stakeholders such as			
	students, faculty, the support staff,			
	and employers.			
27	ASQE Office will revise its	AAU, AVP, ASQE	Short-	Revised more powerful
	Organizational Structure in the	Office	term	and effective
	University and introduce a more			organizational structure
	accessible and transparent and a			for quality assurance
	more powerful structure in order to			put in placed in AAU.
	address educational quality issues			
	all along the different systems of			
	the University and in all academic			
	programs.			
28	AAU will create a system wherein	AAU, Colleges,	Short-	Professors taught
	prolific professors teach not only in	departments	term	undergraduate program
	graduate programs but also in the			students as well
	undergraduate programs as prolific			
	scholars are a good prototype to			
	young scholars or undergraduate			
	students.			
29	AAU will issue an intellectual	AAU, AVP	Short-	Intellectual property
	property right policy and get it		term	right policy issued and
	approved and implemented.			implemented
30	AAU will introduce essay type of	AAU, AVP	Short-	Essay type of exams
	exams and project-based		term	introduced; project-
	assessment methods along with			based assessments
	other exam types and include the			launched; such
	same in its legislation for better			modalities became
	educational quality in all its			legislative
	academic programs.			
31	AAU will strive to minimize	AAU, Colleges	Short-	Promotions request to
	awarding Assistant Professorship		term	the rank of assistant

	titles without demanding PhD			professorship without
	research works; and instead			doing PhD works
	encourage faculty to seek			discouraged.
	promotion to assistant			
	professorship based on PhD works.			
32	AAU will develop a holistic	AAU, VPs	Short-	Holistic capacity
	capacity building strategy and		term	building strategy
	implement to improve the			developed for AAU's
	leadership and managerial			leadership
	capability and competences of			hierarchically and
	directors, deans, department chairs,			implemented on regular
	school and center heads on a			basis.
	regular basis.			
33	The AVP will run for all AAU's	AAU, AVP	Short-	Training on learning
	faculty through the office for		term	assessment and student
	teaching and learning capacity			evaluation offered to all
	building bi-annual training on			faculty biannually
	learning assessments and student			
	evaluation.			
34	Departments and academic units	Departments/academic	Short-	Regular tutorial classes
	will organize tutorial classes for	units	term	run for students
	their students regularly based on			
	the need of their students.			
35	All departments and academic units	Departments/academic	Short-	Courses and modules
	will upload and regularly update	units	term	uploaded on website
	course materials/modules on the			and regularly updated.
	University website.			
36	All colleges/academic units will	Colleges,	Short-	Annual educational
	organize annual workshops on	departments/academic	term	quality workshops run
	educational quality issues and	units		on specific disciplines.
	quality improvement mechanisms			
	on their specific disciplines			
37	Departments/schools/centers will	Colleges,	Short-	Regular research

	organize regular research seminar	departments/academic	term	seminar series held in
	series for academic staff/faculty	units		every department or
	and graduate students on regular			college/center.
	basis.			
38	Administrative assistants will be	AAU, Colleges	Short-	Administrative
	employed to all		term	assistants employed and
	departments/schools/centers			deployed to every
	running many educational			highly populated
	programs and having large number			department/center
	of student population.			
39	Departments and academic units	Colleges,	Short-	Quarterly performance
	will conduct quarterly performance	departments/centers	term	evaluation / review
	evaluation/ review meeting on the			meetings held with
	implementation of their programs			faculty and students on
	with their students and faculty.			every college and
				department.
40	ASQEO will develop standards for	ASQE Office	Short-	Faculty, facility and
	academic staff/faculty, facilities		term	service standards
	and others as measure of quality			developed and
	indicators.			implemented
41	AAU will devise a system where it	AAU, AVP, Colleges	Short-	System designed to
	can monitor and follow up		term	monitor academic
	departmental academic councils			council meetings to
	and college academic councils			ensure the proper
	meetings and approve or			implementation of the
	disapprove where appropriate non-			AAU's legislation.
	legislative decisions and actions.			

B. Mid-term activities

No.	Activities/items	Responsible unit for	Time	Indicator
		implementation	frame	
1	The Office of the Academic	AAU, AVP, ASQE	Mid-	Internal capacity of
	Standards and Quality	Office	term	ASQE Office developed
	Enhancement (ASQEO) will			
	develop its internal capacity by			
	both employment of requisite			
	experts from diverse disciplines			
	and by training existing experts			
	both locally and internationally in			
	the areas of quality assurance and			
	quality enhancement on a regular			
	basis.			
2	ASQEO will run large-scale	AAU, AVP, ASQEO	Mid-	Large scale service
	surveys on service satisfaction on a		term	satisfaction surveys
	number of stakeholders both within			conducted on different
	and outside the University for			stakeholders.
	Quality Assurance Purposes.			
3	Baseline assessment to be made on	AVP, ASQEO	Mid-	Baseline assessment
	quality of education in the		term	made on quality of
	University.			education in AAU.
4	All colleges will certify their	AAU, Colleges,	Mid-	Instructors certified and
	teachers through the University and	departments	term	certifications renewed
	in accordance with national teacher			based on training on
	development polices, guidelines,			regular basis
	and laws issued by the country and			
	teacher certification and licensure			
	will regularly be updated based on			
	regular courses and professional			
	development trainings.			
5	AAU will empower its faculty	AAU	Mid-	Faculty empowered
	through continued professional		term	through continued

	capacity development training and			professional capacity
	dialogue to the full so that they			development and
	exercise free teaching and			dialogue to the full
	imparting of knowledge to their			exercise of their
	students.			professional career
6	AAU will strive to its level best to	AAU	Mid-	Local brain drain
	minimize and finally eliminate		term	minimized and finally
	local brain drain by paying salary			eliminated
	and incentives to its faculty and			
	support staff in commensurate			
	with their qualifications and			
	services.			
7	AAU will encourage the	AAU, Colleges,	Mid-	Participation of
	participation of professional	departments	term	professional
	associations such as teachers'			associations and think-
	association and the think-tank			tank groups in AAU's
	groups in new program approvals			educational practices
	and evaluations of existing			encouraged and
	programs and in setting academic			promoted
	standards.			
8	AAU in collaboration with colleges	AAU, ASQEO	Mid-	Standards set for
	and academic units will set		term	academic issues such as
	standards for a number of critical			class size, student-
	issues in the academics such as			teacher ratio, etc.
	class size, student-teacher ratios,			
	and lab set ups in science			
	laboratories and qualification mix			
	of faculty in graduate and			
	undergraduate programs.			
9	Robust multi-media feedback	AAU, Colleges,	Mid-	Multi-media feedback
	systems to AAU's services will be	departments	term	systems to AAU's
	introduced in all colleges in the			services including on-
	University in order to get more			line feedback systems

	insight into all-round problems of			introduced.
	students and faculty regarding			
	academic issues and student			
	support services including on-line			
	feedback systems.			
10	Student Integrated Management	AAU, Registrar, AVP,	Mid-	Student Integrated
	and Information Services including	departments	term	Management and
	service provisions using electronic			Information Services
	IDs to be made 100 percent on-line			made hundred percent
	and human physical interference to			on-line; human
	be minimized in order to increase			interference minimized.
	efficiency and effectiveness in			
	student management and quality			
	management as well.			
11	AAU will engage its own faculty to	AAU, Colleges	Mid-	Local faculty deployed
	be engaged more in its internal		term	for AAU's internal
	consultancy services and national			consultancy services
	and international research projects			instead of expatriate
	than expatriate staff for several			staff
	reasons of effectiveness and			
	management.			

C. Long-term-activities

No.	Activities/items	Responsible unit for	Time	Indicator
		implementation	frame	
1	AAU will work with the Ministry of	AAU	Long-	National educational
	Education of Ethiopia (MoE) and		term	policies at all levels
	concerned government ministries to			improved; local
	influence the improvement of			languages considered as
	national and regional polices such as			medium of instruction in
	the change of self-contained teaching			higher education
	system, the free-pass system, and the			
	improvement of pre-school			
	curriculum in primary schools			
	system and the introduction of local			
	languages as a medium of instruction			
	in higher education based on			
	research and feasibility.			
2	All colleges and academic units will	Colleges,	Long-	Tracer studies conducted
	carry out tracer studies by their own	departments/academic	term	every five year by all
	to limit the fate of their graduates at	units		departments.
	least in five years' time interval.			
3	AAU will strive to its level best for a	AAU	Long-	Better academic and
	better academic and professional		term	professional autonomy
	autonomy and for its full exercise in			granted to AAU
	the University.			
4	AAU will influence the concerned	AAU	Long-	National harmonious or
	government bodies and policy		term	uniform curricula in
	makers so that universities will avoid			higher education
	harmonious curricula and strive for			minimized and
	competition and specializations in			specializations in
	different areas of training and			disciplines among
	education.			universities encouraged
				and competitive working
				conditions created.
5	AAU will undertake a survey	AAU, departments	Long-	Surveys conducted to

	research to assess the relationship		term	determine the
	between the quality of life of its			relationship between
	graduates and the quality of			quality of life of
	education and degrees they earned			graduates from AAU and
	from universities.			the type of qualification
				they earned from AAU.
6	AAU will establish and run a model	AAU, VPs	Long-	Comprehensive model
	comprehensive school from pre-		term	school established and
	primary to secondary school where			served as center of
	the Community's families learn and			excellence.
	where innovative teaching			
	methodologies and ideas are			
	incubated, practiced, shared, and			
	scaled up.			
7	AAU will emphasize more on team	AAU, AVP	Long-	Team publication
	publications or authorship than		term	encouraged and
	individual or solo publications, and			practiced in a wider
	team publications or multiple			scale.
	authorship will be encouraged as a			
	matter of policy.			
8	AAU will strive to its level best to	AAU	long-	Purely secular and
	ensure that universities are purely		term	politically free national
	secular and free of any political			universities emerge
	domination.			
9	AAU will work together with	AAU, Government,	long-	Higher education and the
	concerned government ministries,	civic societies, MoE,	term	school system liberated
	parent and professional associations	schools		from all forms of abuse
	and civic societies to overcome the			such as substance abuse,
	problems of substance abuse, use of			sexual violence, etc.
	drugs in higher education and in the			
	entire school system in Ethiopia and			
	problems related to sex education			
	and follow up of parents.			

AAU will work to ensure sound	AAU, Colleges	long-	Sound diversity
diversity management all along its		term	management system
systems (teaching, research,			instituted all along the
community services) and faculty and			University's systems and
support staff development,			processes.
motivation and retention systems and			
processes.			
AAU will play a part in the move	AAU, Government	Long-	Ministry of Higher
towards setting up a new Ministry of		term	Education set up
Higher Education as the number of			
universities in Ethiopia is currently			
rising and as effective efficient and			
lean management of those			
universities will play a part in			
maximizing quality of education.			
AAU will conduct a large-scale	AAU, VPs	Long-	Large scale university-
tracer study in collaboration with the		term	wide tracer study
Ministry of Labor and Social Affairs			conducted and findings
at least in a five-year time interval			disseminated to public.
and disseminate the findings to the			
wider public and national and			
international stakeholders.			
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3. Implementation modalities and management

The activities charted out in this Quality Enhancement Plan will be implemented over the coming five years as indicated above by the various parties of the University led by the University's leadership and coordinated by the ASQE Office of the University in collaboration and close partnership with public institutions and civic societies and non-government organizations as well. The sheer commitment of all colleges and departments/ academic units, all faculty and support staff members is immensely required in the move. It is only then, when we as a University are able to fully and effectively implement this action plan that we will

achieve our stipulated missions and reach the vision we set in our legislation and thereby be able to see the realization of a model, successful world-class research university in few years time.

4. Conclusion

Quality enhancement in education especially higher education is these days a global concern of all stakeholders in the education sector and beyond.. The United Nations has given due attention to quality in its 17 Sustainable Development Goals set to be implemented globally by 2030. One of these, Goal Number 4 is about educational quality. The Ethiopian Government has excreted more commitment than ever before to quality in its higher education and general education as visibly witnessed in documents such as the GTP II and the ESDP V.

Thus, it is a high time for all of us now to rise together to bring about quality in Addis Ababa University's educational system as a starting point to the greater journey of achieving quality in the higher education system as a whole.

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